

Get there first.™



IRIS PROVIDES CONFIGURABLE SOFTWARE SOLUTIONS TO THE FRONT- AND MIDDLE-OFFICE OF TIER 1 SECURITIES FIRMS. WE BRING TOGETHER AN UNBEATABLE COMBINATION OF FINANCIAL MARKETS EXPERTISE, APPLIED TECHNOLOGY LEADERSHIP, AND SPEED.

Iris Trade Manager™

Iris Trade Manager™ is our solution for automating the middle office. Designed for global institutions and offering the most comprehensive range of functionality, Iris Trade Manager enables firms to significantly increase the profitability of trading operations by providing a single-solution approach for multi-asset trade management and processing, including automated block, DMA, and basket trade capture and processing, allocation management, confirmation, ticketing, and audit trail generation.

Iris Trade Manager Benefits

Delivered and deployed in rapid timeframes, Iris Trade Manager provides you with these near-term benefits, including:

- Automate basket, DMA, and cash trade allocations and increased capacity to process an ever-greater volume of trades.
- Automate block trade and allocation processing, and eliminate errors due to manual system entry.
- Improve productivity of existing staff and increase ability to dramatically scale the business without additional staff.
- Provide a trade processing platform that could be leveraged into multiple asset classes, including fixed income and derivatives.

"Iris has proven time and again that they have deep understanding of the unique needs of global banks and can deliver powerful, reliable technology solutions to leading firms in that market."

Masahiro Nakajima
NIKKO SYSTEMS SOLUTIONS

How We Do It: The Iris Innovation Platform™

The Iris Innovation Platform™ is our breakthrough set of configurable technology and business components that lets us configure and deliver our solutions faster than otherwise possible.

Iris Innovation Platform benefits:

- Rapid assembly of solutions from an inventory of pre-built business and technology components that are common across all financial services applications.
- Ability to focus on the important part of your solution - the final, highly configurable layer that lets us capitalize on the sweet spot of your business, the part that makes your firm unique.
- Time spent on high-value customization and configuration to solve business problems - not on low-value coding.
- Transfer of the technology to your firm, so that you can update and evolve your Iris configurable application to keep pace with the changes in your business for many years to come.

Get there first.™



Iris Trade Manager Capabilities

Iris Trade Manager features comprehensive functionality to automate the middle-office of tier 1 firms:

ETC Gateway

Provide connectivity with external trade allocation and confirmation channels. Supported networks include OASYS (Direct), OASYS Global (Direct), FIX, and Fax/Telex. Proprietary and custom channels are supported via "Plug In" architecture.

Notice Of Execution

Partially or fully figure summarized trade executions for delivery to customers. This can be sent by report or electronically via the ETC Gateway.

Allocation Capture

Manage the lifecycle of customer allocations from validation to capture, maintenance, and archive. Allocations can be received pre- or post-trade by electronic or manual means.

Allocation

Create customer trade legs by allocating the executed trade quantity across customer allocations. Pre-allocated, under-filled orders can be processed using a number of algorithms.

Booking

Enrich trades with information required for booking (e.g., legal entity, execution venue, capacity, et cetera). Generate additional trade legs for inter-entity trades if necessary. Automatically detect and process amends and cancel/corrects.

Figuration

Determine appropriate money amounts for all tickets. Calculate all taxes, fees, levies, and commissions using a highly configurable charge rule manager to respond as fee structures change globally.

Trade Capture

Manage the lifecycle of trades from validation to capture, maintenance, and archive.

Trade Confirm

Enrich trades with settlement information, formats confirmations for electronic or text based distribution, and send them via the appropriate delivery channel(s).

Audit Trail

Record changes to any entity to produce an audit trail.

A New Breed of Solution: The Iris Configurable Application

Like all Iris products, Iris Trade Manager is highly configurable and adaptable, meeting our customer's unique business needs today, yet extensible so that our customers can quickly and easily change the application as new business opportunities arise.

Planning for the Future

We will enhance Iris Trade Manager over time with new releases planned twice annually. In subsequent releases, we will extend functionality for all currently supported instruments, including additional operational features. We will incorporate more derivative capabilities in later releases. Should customer demand or priorities change, these plans may be altered to deliver particularly important features earlier in the development cycle.

London - World Headquarters

12 Arthur Street
London
EC4R 9AB
UK
+44 207 397 0400
+44 207 397 0401

San Francisco

456 Montgomery Street
San Francisco, CA 94104
+1 415-835-7800
+1 415-835-7878

New York

100 Wall Street
New York City, NY 10005
+1 646-254-2401
+1 646-254-2402

Tokyo

Yurakucho Building, 11th Floor
10-1, Yurakucho, 1-chome
Chiyoda-ku
Tokyo 100-0006
Japan
+81 3 5219 2062
+81 3 5219 2052